IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT COOPERATION TREATY

In re application of:

Frank J. Juskey

Docket:

102402-200

n. Truik

Daniel K. Lau

Lawrence R. Thompson

International (PCT)

PCT/US2004/004676

Art Unit:

N.A.

Application No.:

February 17, 2004

Examiner:

N.A.

Assignee:

Advanced Interconnect

Conf. No.

N.A.

Technologies Limited

Title:

Filed:

LEAD FRAME WITH INCLUDED PASSIVE DEVICES

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(B) and STATEMENT OF FACTS IN SUPPORT OF THE PETITION

Attention: PCT Legal Staff

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The above-identified application became abandoned as to the United States because the fees and documents required by 35 U.S.C. 371(c) were not filed prior to the expiration of the time set in 37 CFR 1.495(b) or (c) as applicable. The date of abandonment is the day after the date on which the 35 U.S.C. 371(c) requirements were due.

01/06/2006 MKAYPAGH 00000118 231665 10563172

05 FC:1453

1500.00 DA

1200.00 hu	
CERTIFICATE OF MAILI	NG OR TRANSMISSION (37 CFR 1.8(a))
I hereby certify that this correspondence (along with any	paper referred to as being attached or enclosed) is being:
Label No. EV 614 555 355 US in an envelope at for Patents, P.O. Box 1450, Alexandria, VA 223	
transmitted by facsimile on the date shown bele (703) 872-9306.	ow to the United States Patent and Trademark Office via facsimile at
December 30, 2005	Signed: Gregory & Rosenblatt

Attorney Docket No. 102402-200 Page 2 of 5

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION

		NOTE: A grantable petition requires the following items:
	(1)	Petition fee;
	(2)	Reply and/or fee; and
	(3)	Verified statement that the abandonment was unintentional.
l.	Petitio	on fee
		Small entity – fee \$250.00 (37 CFR 1.17(I))
		Small entity statement enclosed herewith
		Small entity statement previously filed
	\boxtimes	Other than small entity – fee \$1,500.00 (37 CFR 1.17(I))
		Authorization is given to charge the petition fee of \$1,500.00 to Deposit Account 23-1665; a duplicate copy is enclosed, if mailed. The Commissioner is authorized to charge any additional fees required or to credit any surplus to Deposit Account 23-1665.
2.	Reply	and/or fee
	A.	The Reply and/or fee of the above-noted Office action in the form of a Request for the USPTO to begin national examination procedures under 35 U.S.C. 371(f):
		Has been filed previously on .

3. Verified statement

An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unintentional follows.

At the request of Advanced Interconnect Technologies Limited (AIT), a provisional patent application, Serial No. 60/449,049, was filed with the United States Patent and Trademark Office on February 21, 2003 (TAB 1). A foreign filing license was granted on April 3, 2003.

A follow-up letter was sent to AIT on October 8, 2003 (TAB 2) asking whether any US non-provisional patent applications or foreign patent applications based on the provisional patent application were to be filed (TAB 2).

On October 21, 2003, AIT authorized the filing of a PCT International Application designating all States including the United States (TAB 3).

The PCT application, serial number PCT/US2004/004676 (TAB 4) was duly filed on February 17, 2004. Wiggin and Dana's computerized docketing system automatically set August 21, 2005 as the due date for 30 month national phase filing into the United States and foreign countries. (TAB 5).

On April 26, 2005, instructions were received from AIT to national phase file the application in China, Japan, Korea, Philippines and the EPO. (TAB 3). US national phase filing was understood from the October 21, 2003 instructions (TAB 3).

On July 21, 2005, national phase filing of all foreign (e.g. non-US) applications was completed. Neither the Docket Coordinator nor the attorney responsible for the US national phase filing remember filing a US national phase patent application. If, as described below, such an application was not filed, then <u>inadvertently</u>, and <u>without deceptive intent</u>, Wiggin and Dana's Docket Coordinator entered the July 21, 2005 completion date for US national phase filing as well (TAB 5). As a direct consequence of this action, the requirement to file in the United States before August 21, 2005 was deleted from the computerized docketing record and apparently never completed.

In October 2005, Timothy Olson, the Wiggin and Dana attorney responsible for this patent application family left Wiggin and Dana. During a review of AIT patent assets subsequent to Mr. Olson's departure, Gregory S. Rosenblatt, a Wiggin and Dana attorney, noted there was no entry for a US non-provisional patent application (TAB 3). Mr. Rosenblatt promptly questioned Mr. Olson and the Docket Coordinator who could not remember if a US non-provisional patent application had been filed. Mr. Rosenblatt then searched for a non-provisional patent application file and could not locate such a file. The Wiggin and Dana Deposit Account with the USPTO was also reviewed to determine if a filing fee for a US non-provisional application had been deducted. No such deduction entered.

Accordingly, it is the conclusion of Gregory S. Rosenblatt that a US national phase filing based on international application PCT/US2004/004676 was <u>unintentionally and without</u> deceptive intent most likely not filed by the August 21, 2005 30-month national phase deadline.

Entry of the attached delayed Request to enter the US national phase is respectfully requested.

Attorney Docket No. 102402-200 Page 5 of 5

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United Stated Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Respectfully submitted,

Frank J. Juskey, et al.

Date: December 30, 2005

Gregory S. Rosenblatt Reg. No. 32,489

CONTACT INFORMATION:

WIGGIN & DANA LLP One Century Tower New Haven, CT 06508-1832 Telephone: (203) 498-4566 Facsimile: (203) 782-2889

Email: grosenblatt@wiggin.com

\16832\4\569663.1

10/563172 IAP6 Rec'd PCT/PTO 30 DEC 2005



ATTENTION: PATENT DOCKETING

NEW HAVEN, CT 06508-1832

ONE CENTURY TOWER, P.O. BOX 1832

Commissioner for Patents Washington, DC 2023

APPLICATION NUMBER

FILING DATE

GRP ART UNIT | FIL FEE REC'D

ATTY.DOCKET.NO

DRAWINGS

TOT CLAIMS IND CLAIMS

60/449,049

WIGGIN & DANA LLP

27267

02/21/2003

160

102402-100

CONFIRMATION NO. 6625

FILING RECEIPT

OC000000009768524*

Date Mailed: 04/03/2003

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank J. Juskey, Dublin, CA; Daniel K. Lau, San Francisco, CA; Lawrence R. Thompson, San Jose, CA;

If Required, Foreign Filing License Granted: 04/03/2003

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

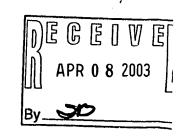
Early Publication Request: No

Title

Lead frame with included passive devices

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED



The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Wiggin & Dana

Counsellors at Law Wiggin & Dana LLP

One Century Tower P.O. Box 1832 New Haven, Connecticut 06508-1832

203.782.2889 fax www.wiggin.com

563172

VIA FEDERAL EXPRESS

October 8, 2003

Michael L. Huskins, Esq. Vice President, Finance and Legal Affairs Advanced Interconnect Technologies, Inc. 6800 Koll Center Parkway, Suite 220 Pleasanton, CA 94566

Re:

United States Provisional Application Serial No. 60/449,049

Title:

LEAD FRAME WITH INCLUDED PASSIVE DEVICES

Filed:

February 21, 2003

Inventors:

Frank J. Juskey, Daniel K. Lau & Lawrence R. Thompson

Our Ref:

102402-100

Response By:

November 8, 2003

Dear Mr. Huskins:

Please be advised that the above-identified U.S. provisional patent application will expire on February 21, 2004. Before that date, a non-provisional patent application must be filed in the U.S. Patent & Trademark Office (USPTO). For your convenience, attached is a copy of the Abstract describing the subject matter of the application.

Accordingly, please let Greg Rosenblatt know by November 8, 2003 if the aboveidentified Provisional Application is to be converted to regular status in order that this application may be continued in the United States Patent & Trademark Office.

Sincerely,

Lucille Gonsalves

Paralegal

Enclosure

cc - G.S. Rosenblatt w/enc.

\15819\2\427252.1

ABSTRACT

An electronic package is presented including a lead frame having a plurality of conductive leads, a plurality of bond pads, a semiconductor die mounted to the lead frame and electrically coupled to the bond pads and at least one passive component mounted to the lead frame and electrically coupled to the semiconductor die. In one embodiment, the passive component is comprised of one of a capacitor, an inductor and a resistor.

\15819\2\357188.2

5

From: SHEILA C. SEEMANN (203)498-4435 **WIGGIN & DANA** 265 CHURCH STREET

NEW HAVEN, CT, 06510



To: Michael L. Huskins, Esq. (203)498-4435 Advanced Interconnect Technologies 6800 Koll Center Parkway, Suite 220

SHIP DATE: 080CT03 WEIGHT: 1 LBS

Pleasanton, CA, 94566

Ref: 15819-2 102402-100



TRK # 7909 2481 1145 5881

94566-CA-US

THU A2 Deliver by: 09OCT03

Shipping Label: Your shipment is complete

Cancel Shipment | Edit shipment information | Process another shipment | Repeat last shipment

Go to next step

- 1. Use the 'Print' feature from your browser to send this page to your laser or inkjet printer.
- 2. Fold the printed page along the horizontal line.
- 3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

Wiggin & Dana LLP One Century Tower P.O. Box 1832 New Haven, Connecticut 06508-1832

203.498.4400 203.782.2889 fax www.wiggin.com

VIA FEDERAL EXPRESS COURIER

October 8, 2003

Michael L. Huskins, Esq. Vice President, Finance and Legal Affairs Advanced Interconnect Technologies, Inc. 6800 Koll Center Parkway, Suite 220 Pleasanton, CA 94566

Re: United States Provisional Application Serial No. 60/449,049

Filed on February 21, 2003

LEAD FRAME WITH INCLUDED PASSIVE DEVICES

Our Ref: 102402-100

CONVENTION FOREIGN FILING DEADLINE: FEBRUARY 21, 2004

Dear Mr. Huskins:

We are writing to inquire whether you wish to file any foreign patent applications corresponding to the above-identified United States application.

If a corresponding foreign patent application is filed <u>within one year</u> of the earliest United States filing date that foreign application will receive the benefit of that earlier United States filing date in most foreign countries. In other words, that foreign patent application will for most reasons be considered filed on the U.S. filing date. However, corresponding foreign patent applications filed after one year of the U.S. filing date do not receive that benefit, and in that case, it is possible a patent issuing from that earlier filed U.S. Patent Application (as well as any other intervening references) may become prior art and bar patent protection in certain foreign countries. Therefore, we generally recommend to foreign file within one year of the U.S. filing date.

Two routes exist for foreign filing patent applications. One route is the individual country (e.g., Japan) or region (e.g. Europe) filing. The second way is to first file a PCT Patent Application and later file the national phase patent applications in the individual countries or regions (either 18 or 30 months after the first U.S. filing date). If you decide to foreign file, the individual country route may be advantageous if you believe the claimed invention is currently commercially important and it is important to obtain foreign patent protection as quickly as possible. The PCT application may be advantageous if the commercial importance of the claimed invention and/or the patentability of the claimed invention is currently uncertain and deference of foreign filing costs is an important factor.

Wiggin & Dana

October 8, 2003 Page 2

It should be noted that the PCT application route generally results in later issued foreign patents (and thus shorter patent terms). Furthermore, the PCT Application route involves an overall greater cost than the individual country route cost because both a PCT application as well as the individual country application have be to filed and prosecuted. Also, please note that the PCT application route can be used for most countries, but not all countries.

In any event, you should recognize that foreign filing patent filing in some countries <u>cannot</u> be done because of certain events that happened before the U.S. filing date. For example, certain public uses, disclosures to others or sales of the claimed invention may bar foreign patent protection in some countries while not barring patent protection in the United States. Please indicate on the instruction sheet any commercialization or the like before the U.S. filing date events that may adversely effect obtaining patent protection in foreign countries.

Accordingly, if you wish to foreign file the above-noted U.S. patent application and obtain the benefits of the U.S. filing date, would you please so indicate on the attached sheet and then return that sheet to me before **November 8, 2003**. If your decision is not to foreign file, would you so indicate on the attached sheet and return it to me before **November 8, 2003**.

If you wish to file by the individual country or region route, please also indicate in the box provided which countries the application should be filed in. If you select European Patent Application, please select the countries in that region that you want the application. We will confirm your decision by return mail and provide you with the names of our foreign patent associates (i.e., foreign patent firms that file and prosecute applications in those countries) that will handle your case, as well as an estimate of the out-of-pocket costs involved for filing, prosecution and maintenance in each selected country or region.

We expect that the initial filing costs to be approximately \$5,000 - \$7,000 to file a regional application in the European Patent Office and approximately \$5,500 - \$7,000 to file an Application in Japan and approximately \$2,500 - \$3,500 for every other country in the attached country list. These filing costs do not include prosecution costs or the fees for converting the European Application into the respective European national patents or the annual maintenance fees for each country. The prosecution costs are very difficult to predict since each case is treated differently. As an example, a breakdown of the filing and prosecution fees and other fees in Europe and Japan are also shown in attached memoranda. The current maintenance fees for each major industrial country are also shown on another attached list.

Wiggin & Dana

October 8, 2003 Page 3

We require a retainer from your company for that estimated amount before foreign filing because of the large disbursements due foreign patent offices and foreign patent firms for their work in handling these matters.

If you wish to foreign file, but want to defer the decision on where to file, we can make a PCT filing for selected countries (see attached country list). The average estimated cost of the preparation and filing of a PCT application is approximately \$5,000 (which would include the Wiggin & Dana attorney's and paralegal's fees as well as PCT filing and the PCT Demand costs). The filing of the PCT application followed by the filing of Demand will allow you to defer the decision on where to file your foreign applications for up to 18 more months. We will also require a retainer from you for filing a PCT Application for this estimated amount.

If you wish, please call Gregory Rosenblatt at 203-498-4566 to discuss any specific foreign filing issues in more detail or to provide you with specific information as to any particular country of interest.

Sincerely,

Lucille Gonsalves

Paralegal

Enclosures (4)

cc: Gregory S. Rosenblatt

\15819\2\427260.1

Thursday, August 07, 2003	, Augus	st 07, 200.	၉		U.S. C	ASES DUE	FOR FOR	CASES DUE FOR FOREIGN FILING	Page: 1
Advanced Interconnect Technologies	d Inter	connect	Techi	ologies					
Case Number	Client/ Matter		Attorney	Subcase	Case Typ	Application Number	Filing Date	Title	Inventors
102402	15819 / 2	12	GSR	100	PRO	60/449049	21-Feb-2003	LEAD FRAME WITH INCLUDED PASSIVE DEVICES	JUSKEY, FRANK J. LAU, DANIEL K.
Owner: ADVANCED INTERCONNECT TECHNOLOGIES	DVAÑ	CED INT	ERCOI	NNECT T	ECHNOL	OGES			THOMPSON, LAWRENCE R.
Remarks:									
Abstract:		An electronic package plurality of bond pads, pads and at least one premiconductor die. In inductor and a resistor.	ckage is pads, a one pas ie. In o	An electronic package is presented incluc plurality of bond pads, a semiconductor of pads and at least one passive component semiconductor die. In one embodiment, inductor and a resistor.	f including fluctor die bonent mo iment, the	g a lead frame h mounted to the unted to the lea passive compo	aving a plurality lead frame and e d frame and elec nent is comprisec	An electronic package is presented including a lead frame having a plurality of conductive leads, a plurality of bond pads, a semiconductor die mounted to the lead frame and electrically coupled to the bond pads and at least one passive component mounted to the lead frame and electrically coupled to the semiconductor die. In one embodiment, the passive component is comprised of one of a capacitor, an inductor and a resistor.	
PLEASE ENTER YOUR FOREIGN FILING DECISION BELOW: FOREIGN FILING DUE - February 21, 2004	ENTE	R YOUI EIGN FI	R FOR	EIGN F. DUE - F	ILING I Tebruary	PLEASE ENTER YOUR FOREIGN FILING DECISION BELOW: FOREIGN FILING DUE - February 21, 2004	COUR	COUNTRIES: (See List)	
DO NOT FILE	To H	FILE	<u> </u>	INITIALS	v _q	DATE			

LAST UPDATED 8/28/03

FOREIGN FILINGS - PCT COUNTRY LIST

Countries in which patent applications may be filed in the "National Phase" following a PCT application filing. This gives the applicant up to 30 months after the U.S. filing date to decide in which of these countries an application should be filed (within 12 months of the U.S. filing, file PCT application; 18 months after PCT filing, file National Phase):

Albania Ecuador Algeria Antigua & Barbuda Estonia Armenia Australia Gambia Azerbaijan Georgia **Barbados** Ghana Belarus Grenada Belize Hungary Bosnia & Herzegovina Iceland Botswana India Brazil Indonesia Bulgaria Israel Canada Japan China Kazakhstan Colombia Kenya Democratic People's

Costa Rica Croatia Cuba Czech Republic Dominica Egypt

Equatorial Guinea European Patent*

Republic of Korea (North) Republic of Korea (South) Kyrgystan Latvia Lesotho

Lithuania Macedonia Madagascar Malawi Mexico Mongolia Могоссо Mozambique New Zealand Norway **Philippines Poland**

Romania Russian Federation Saint Lucia Sierra Leone Singapore Slovak Republic Slovenia

South Africa

Republic of Moldova

Sri Lanka Sudan Swaziland Tajikistan

Trinidad & Tobago Turkey

Turkmenistan Uganda Ukraine

Yugoslavia

Zimbabwe

United Arab Emirates United Republic of Tanzania United States Uzbekistan Viet Nam

*Countries in which an individual National Phase application, or a regional "European Patent" application may be filed following a PCT application (for total of 30 months post-U.S. filing):

Albania*** Finland Austria France** Belgium** Germany Bulgaria Greece** Cyprus** Hungary Czech Republic Ireland** Denmark Italy** Estonia Latvia***

Lithuania*** Luxembourg Monaco** Netherlands** Portugal Romania Slovak Republic Slovenia**

Spain Sweden

Switzerland & Liechtenstein The former Yugoslav Republic of Macedonia***

Turkey

United Kingdom

FOREIGN FILINGS - NON-PCT COUNTRY LIST

Countries in which patent applications must be filed nationally within twelve (12) months of U.S. filing date to get the benefit of the U.S. filing date:

Argentina Bolivia Chile

Malaysia **Pakistan** Peru

Saudi Arabia Taiwan Thailand

Venezuela

^{**}In these countries, a European patent application must be filed; no individual national application is permitted.

^{***}Extension State - Additional fee may be required.

Invention Data

Friday, December 23, 2005

Page: 1

Case Number: 102402

Client-Matter: 16832

Client Name: Advanced Interconnect Technologies, Inc.

Client Reference #:

Disclosure Status: Filed

Disclosure Date:

Owner: AITL

ADVANCED INTERCONNECT TECHNOLOGIES LIMITE

Attorney(s): GSR

LSG

Title: LEAD FRAME WITH INCLUDED PASSIVE DEVICES

Priority Country: United States of America

Priority Number: 60/449049

Priority Date: 21-Feb-2003

Abstract: An electronic package is presented including a lead frame having a plurality of conductive leads, a plurality of bond pads, a semiconductor die mounted to the lead frame and electrically coupled to the bond pads and at least one passive component mounted to the lead frame and electrically coupled to the semiconductor die. In one embodiment, the passive component is comprised of one of a capacitor, an inductor and a resistor.

Remarks: FOREIGN FILING:

10/21/03 - Per Michael L. Huskin's e-mail to LSG - File PCT (designating all States including U.S.) and Taiwan and file cases in the name of Advanced Interconnect Technologies Limited, a company incorporated under the laws of the Republic of Mauritius

4-26-05 - Per Marty Kennedy's e-mail to LSG - File National Phase in China, Japan, Korea, Philippines and EPO (designating Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Luxembourg, Monaco, Netherlands, Portugal, Romania, Slovak Republic, Slovenia, Spain, Sweden, Switzerland and Liechtenstein, Turkey and the United Kingdom). Designate Hong Kong via EPO or China.

Inventors:

JUSKEY, FRANK J. LAU, DANIEL K. THOMPSON, LAWRENCE R.

Invention Data Friday, December 23, 2005 Page: 2 **Country Applications** Sub Case Application **Filing** Patent Issue Ctry Status Case Type Number Date Number Date AT 108 **EPP** Pending 04711876.5 17-Feb-2004 BE 108 **EPP** Pending 04711876.5 17-Feb-2004 BG 108 **EPP** Pending 04711876.5 17-Feb-2004 CH 108 **EPP** Pending 04711876.5 17-Feb-2004 Pending CN 104 **PCT** 0480004702.1 17-Feb-2004 CY 108 **EPP** Pending 04711876.5 17-Feb-2004 CZ 108 **EPP** Pending 04711876.5 17-Feb-2004 DE 108 **EPP** Pending 04711876.5 17-Feb-2004 DK 108 **EPP** Pending 04711876.5 17-Feb-2004 EE 108 **EPP Pending** 04711876.5 17-Feb-2004 EP 108 **PCT** Published 04711876.5 17-Feb-2004 ES 108 **EPP** Pending 04711876.5 17-Feb-2004 FI 108 **EPP** Pending 04711876.5 17-Feb-2004 FR 108 **EPP** Pending 04711876.5 17-Feb-2004 GB 108 **EPP** Pending 04711876.5 17-Feb-2004 GR 108 **EPP** Pending 04711876.5 17-Feb-2004 HU 108 **EPP** Pending 04711876.5 17-Feb-2004 ΙE 108 **EPP** Pending 04711876.5 17-Feb-2004 IT 108 **EPP** Pending 04711876.5 17-Feb-2004 JР 105 **PCT** Pending XXX 17-Feb-2004 KR 106 **PCT** Pending 05-7015419 17-Feb-2004 LI 108 **EPP** Pending 04711876.5 17-Feb-2004 LU 108 **EPP** Pending 04711876.5 17-Feb-2004 MC 108 **EPP Pending** 04711876.5 17-Feb-2004 NL 108 **EPP** Pending 04711876.5 17-Feb-2004 PH 107 **PCT** Pending 1-2005-501424 17-Feb-2004 PT 108 **EPP**

17-Feb-2004

17-Feb-2004

Pending

Pending

RO

108

EPP

04711876.5

04711876.5

108	EPP EPP	Pending	04711876.5	17-Feb-2004		
108	EPP			1, 100-2004		
		Pending	04711876.5	17-Feb-2004		
108	EPP	Pending	04711876.5	17-Feb-2004		
108	EPP	Pending	04711876.5	17-Feb-2004		
102	ORD	Pending	093104345	20-Feb-2004		
100	PRO	Converted	60/449049	21-Feb-2003		
101	ORD	Closed	US04/004676	17-Feb-2004	All the state of t	
	108 102 100	108 EPP 102 ORD 100 PRO	108 EPP Pending 102 ORD Pending 100 PRO Converted	108 EPP Pending 04711876.5 102 ORD Pending 093104345 100 PRO Converted 60/449049	108 EPP Pending 04711876.5 17-Feb-2004 102 ORD Pending 093104345 20-Feb-2004 100 PRO Converted 60/449049 21-Feb-2003	108 EPP Pending 04711876.5 17-Feb-2004 102 ORD Pending 093104345 20-Feb-2004 100 PRO Converted 60/449049 21-Feb-2003

User ID: SBlake

Date Created: 20-Aug-2002

Last Update: 21-Oct-2005



BEST AVAILABLE COPY

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau

(43) International Publication Date 10 September 2004 (10.09.2004)



PCT

(10) International Publication Number - WO 2004/077508 A2

(51) International Patent Classification7:

H01L

(21) International Application Number:

PCT/US2004/004676

- (22) International Filing Date: 17 February 2004 (17.02.2004)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/449,049

21 February 2003 (21.02.2003)

- (71) Applicant (for all designated States except US): AD-VANCED INTERCONNECT TECHNOLOGIES LIMITED [MU/MU]; a compagny incorporated under the laws of the Repu, blic of Mauritius, Valmet (Mauritius) Limited, 608 St. James Court, St. Denis Street, Port Louis (MU).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): JUSKEY, Frank, J. [US/US]; 1706 Imperial Palm Drive, Apopka, FL 32712 (US). LAU, Daniel, K. [US/US]; 395 Stonecrest Drive, San Francisco, CA 94132 (US). THOMPSON, Lawrence, R. [US/US]; 5675 Keymar Drive, San Jose, CA 95123 (US).

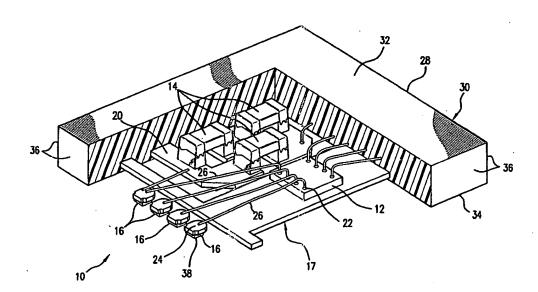
- (74) Agent: OLSON, Timothy, J.; Wiggin & Dana LLP, One Century Tower, New Haven, CT 06508-1832 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW). Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

without international search report and to be republished upon receipt of that report

[Continued on next page]

(54) Title: LEAD FRAME WITH INCLUDED PASSIVE DEVICES



(57) Abstract: An semiconductor device package (10) includes a semiconductor device (die) (12) and passive devices (14) electrically connected to a common lead frame (17). The lead frame (17) is formed from a stamped and/or etched metallic structure and includes a plurality of conductive leads (16) and a plurality of interposers (20). The passive devices (14) are electrically connected to the interposers (20), and I/O pads (22) on the die (12) are electrically connected to the leads (16). The die (12), passive devices (14), and lead frame (17) are encapsulated in a molding compound (28), which forms a package body (30). Bottom surfaces (38) of the leads (16) are exposed at a hottom face (34) of the nackage (10)



Country Application

Friday, December 23, 2005

Page: 1

Case Number: 102402

Country: WO

SubCase: 101

Client-Matter: 16832

Client: Advanced Interconnect Technologies, Inc.

Patent Cooperation Treaty

Case Type: ORD

Application Status: Closed

Application Number: US04/004676

Filing Date: 17-Feb-2004

Publication Number: WO04/077508A2

Publication Date: 10-Sep-2004

Patent Number:

Issue Date:

Parent/PCT Number:

Parent/PCT Date:

Parent Issue Number:

Parent Issue Date:

Tax Schedule: LE

Expiration Date:

Confirmation #:

Patent Term Adjustment: 0

Priority Case Number: 60/449049

US

100

W & D File Number: 102402-101-16832-4

File Location: 12/23/2005 - GSR

Allowance Date:

Claims: 0

Agent: WGN

Terminal Disclaimer:

Agent Reference #:

Wiggin and Dana LLP

Family Reference:

Remarks: 2/1/04 - Sent by facsimile to Specialized Patent Services to be Hand Carried to the USPTO the following documents: Petition for Foreign Filing License under 37 CFR 5.13., copy of the to be filed patent application and drawings 2/19//04 - Rec'd by fax from Specialized Patent Services a copy of Foreign Filing License issued by the USPTO and granted on 2/18/04, License Number 532,017

> 12/2/04 - The response time for the Search Report and Written mailed was restarted. The original forms mailed on 11/12/04, PCT/ISA/220, PCT/ISA/210 and PCT/ISA/237, contained errors and were resent having a mailing date of 11/29/04 with a response date of 1/29/05.

Country Application

Friday, December 23, 2005

Page: 2

List	Of	Act	ions

Action(s) Due	Due Date		Action Taken
Filing Status Check	17-Apr-2004	Reminder	15-Apr-2004
Invitation to Correct Defects	13-May-2004	Final	19-Apr-2004
Search Report Status Check	21-Jul-2004	Reminder	17-Nov-2004
Demand - 1 Month	21-Aug-2004	Due Date	04-Aug-2004
Demand/National Phase-Ch. I	21-Sep-2004	Final	01-Jan-1900
Response to Search Report	12-Jan-2005	Final	01-Jan-1900
Response to Written Opinion	12-Jan-2005	Final	01-Jan-1900
Response to Search Report	29-Jan-2005	Final	28-Jan-2005
Response to Written Opinion	29-Jan-2005	Final	28-Jan-2005
National Phase-Ltr. To Client	21-Feb-2005	Due Date	01-Apr-2005
Examination Report from PCT	21-Jun-2005	Reminder	21-Mar-2005
File National Phase into US	21-Jul-2005	Due Date	01-Jan-1900
National Phase -Ch. II - 1 Mo	21-Jul-2005	Due Date	01-Jan-1900
Chapter I Due (Extended)	21-Aug-2005	Due Date	01-Jan-1900
File National Phase into US	21-Aug-2005	Final	21-Jul-2005
National Phase-Ch. II Deadline	21-Aug-2005	Final	21-Jul-2005

Cost Tracking

Type of Cost	Payment Date	Net Cost
Examination	04-Aug-2004	600.00
Handling Fees	04-Aug-2004	162.00

2 Payments Totaling:

762.00

User ID: SBlake

Date Created: 19-Feb-2004

Last Update: 23-Dec-2005